

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q64408  
Satomi SHIGAKI Allowed: March 9, 2007  
Appln. No.: 09/850,007 Group Art Unit: 2621  
Confirmation No.: 9373 Examiner: Mishawn N. DUNN  
Filed: May 8, 2001  
For: METHOD AND DEVICE FOR RECORDING/REPRODUCING BROADCASTING SIGNALS OF A PROGRAM

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

**MAIL STOP ISSUE FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 11-75146, published March 16, 1999.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/850,007

Q64408

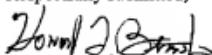
the statutory fee of \$180.00 under 37 C.F.R. § 1.17(p) via EFS Payment Screen, and a Statement Under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated January 30, 2007, and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE  
**23373**  
CUSTOMER NUMBER

Date: April 2, 2007

PATENT APPLICATION

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

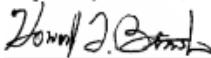
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,



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**Substitute for Form 1483 A & BPTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

*Complete if Known*

Application Number	09/850,007
Confirmation Number	9373
Filing Date	May 8, 2001
First Named Inventor	Satomu SHIGAKI
Art Unit	2621
Examiner Name	Mishawn N. DUNN
Attorney/Agent/Doctor Number	C64408

U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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#### **NON-PATENT LITERATURE DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Applicant's unique citation designation number (optional):** See *Kind Codes of USPTO Patent Documents* at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the internet. Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). For Japanese patent documents, the indication of the year of the right of the Japanese Patent Office will provide the serial number of the patent document. **Kind of document:** by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 (if possible).